

SECTION 00300

BID PROPOSAL FORM

Crestline Sanitation District
24516 Lake Drive
P.O. Box 3395
Crestline, California 92325-3395

The undersigned hereby proposes to perform all Work for which a Contract may be awarded him/her and to furnish any and all plant, labor, services, material, tools, equipment, supplies, transportation, utilities, water and all other items and facilities necessary therefor as provided in the Contract Documents, and to do everything required therein for the Work as set forth in the documents entitled:

HUSTON CREEK WWTP PRIMARY CLARIFIER AND DEWATERING BUILDING IMPROVEMENTS

Together with appurtenances thereto, all as set forth on the Drawings and in the Specifications and other Contract Documents; and he/she further proposes and agrees that, if this Bid is accepted, he/she will contract in the form and manner stipulated to perform all the Work called for by Drawings, Specifications, and other Contract Documents, and to complete all such Work in strict conformity therewith within the time limits set forth therein, and that he/she will accept as full payment therefor the prices set forth in the Bid Sheet(s) forming a part hereof.

It is understood and agreed that:

1. The undersigned has carefully examined all the Contract Documents which will form a part of the Contract; and all additions, deletions, modifications, appendices, and all addenda as prepared prior to the date of bid opening setting forth any modifications or interpretations of any of said documents.
2. The undersigned has by investigation of the site of the Work and otherwise satisfied himself/herself as to the nature and location of the Work and has fully informed himself/herself as to all conditions and matters which can in any way affect the Work or the cost thereof;
3. The undersigned fully understands the scope of the Work and has checked carefully all words and figures inserted in this Bid and he/she further understands that the Owner will in no way be responsible for any errors or omissions in the preparation of this Bid;
4. The undersigned will execute the Contract Agreement and furnish the required proof of insurance coverage within ten (10) days (not including Sundays and holidays) after Notice of Award to him/her of acceptance of his/her Bid by the Owner.
5. The undersigned Bidder further agrees that if he/she shall fail to complete the Work within the Contract Time and any authorized extension thereof, he/she shall pay liquidated damages to the Owner for each calendar day of unauthorized delay in completion of the Work, until the Work is completed, unless another amount is agreed to and stated in the Contract Agreement.

6. The undersigned hereby certifies that this proposal is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

7. In conformance with current statutory requirements of Section 1860, et seq., of the Labor Code of the State of California, the undersigned confirms the following as his/her certification: I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workmen's compensation or to undertake self insurance in accordance with the provisions before commencing the performance of the Work of this Contract:

8. In compliance with the provisions of Sections 4100-4114 of the Public Contract Code of the State of California, and any amendments thereof, the undersigned Bidder has set forth below the name and the location of the place of business of each Subcontractor who will perform work or labor or render service to the Contractor in or about the construction of the Work to be performed under the Contract Documents in which this Bid is responsive, and where the portion of the Work which will be done by each Subcontractor for each subcontract is in excess of one-half of one percent (0.5%) of the Total Base Bid. The undersigned Bidder understands that if he/she fails to specify a Subcontractor for any portion of the Work or specifies more than one subcontractor for any portion of the work, he/she shall be deemed to have agreed to perform such portion himself/herself and that he/she shall not be permitted to sublet or subcontract that portion of the Work except in cases of public emergency or necessity, and then only after a finding is reduced to writing as a public record of the Owner setting forth the facts constituting the emergency or necessity. It is further understood and agreed that the aggregate total of all subcontracts shall not exceed forty-five percent (45%) of the total contract price in accordance with the provisions of Instructions to Bidders and or General Conditions.

9. Receipt is hereby acknowledged of addenda number(s) 1 through 4.


10. The undersigned is licensed in accordance with the Laws of the State of California: License Number 484452 Class A, B.

11. If the bidder is a partnership, corporation, or joint venture, the undersigned certifies that s/he is entitled to execute and submit this bid proposal on behalf of bidder.

NOW: In compliance with all the provisions herein before stipulated, the undersigned, with full cognizance thereof, hereby proposes to perform the entire Work for the prices set forth in the attached Schedule(s) upon which Award of Contract is made.

Individual Name: _____
Contractor Address: _____
Partnership Name: _____
Business Address: _____
By: _____, Partner
Other Partners: _____

Corporation Name: Steve P. Rados, Inc.
Business Address: 2002 E. McFadden Avenue. Suite 200, Santa Ana, CA 92705

By:  _____
Stephen S. Rados, President
Stephen A. Rados, Secretary

Organized under the laws of the State of California

Date: _____
Contractor: October 20, 2021 Steve P. Rados, Inc.

(Place
Seal
Here)

By:  _____

HUSTON CREEK WWTP PRIMARY CLARIFIER AND DEWATERING BUILDING IMPROVEMENTS

Item No.	Item/Description	Qty.	Unit	Unit Bid	Total Bid
1.	General Requirements	1	LS	\$1,000,000	\$1,000,000
2.	Mobilization, Demobilization, Bonds, and Insurance (Max. 5% of Bid)	1	LS	900,000 \$625,000	900,000 \$625,000
3.	Storm Water Runoff Control	1	LS	\$120,000	\$120,000
4.	Sheeting, Shoring, and Bracing - Required Line Item for Section Nos. 06705 and 06707 of the California Labor Code.	1	LS	\$250,000	\$250,000
5.	Utility Potholing	25	EA	\$1,200	\$30,000
6.	Field Orders	1	LS	\$100,000	\$100,000
7.	Demolition	1	LS	\$100,000	\$100,000
8.	Civil Work	1	LS	\$750,000	\$750,000
9.	Structural Work	1	LS	\$4,000,000	\$4,000,000
10.	Mechanical Work	1	LS	3,848,000	3,848,000
11.	Electrical, Instrumentation and Control	1	LS	\$1,500,000	\$1,500,000
12.	Protective Coatings	1	LS	\$450,000	\$450,000
	TOTAL BID				\$12,773,000

SSR

SSR

Total Bid (in words)

12,673,000

~~Twelve Million Seven Hundred Seventy Three Thousand Dollars~~ SSR

Twelve Million Seven Hundred Six Hundred Seventy three thousand

END OF SECTION

SECTION 00404

LIST OF MATERIAL AND EQUIPMENT MANUFACTURERS FORM

The undersigned Bidder understands and agrees that the Owner will consider this Bid incomplete and unresponsive unless the Bidder names a manufacturer for each item of equipment in the following list. Not more than one manufacturer shall be named for each item of equipment. The undersigned Bidder hereby agrees that, after submission of this Bid, he/she will not make any change in the following listing of manufacturers and will not award a contract or agreement of any kind to a manufacturer not listed below for the listed items of equipment unless such change, award, or agreement is first approved in writing by the Owner according to the provisions of the Contract Documents pertaining to substitutions and equals. The manufacturers named below produce the listed items of equipment which comply with the requirements of the Contract Documents, and the undersigned Bidder will furnish and install the complying equipment of the manufacturers named in the following list.

SCHEDULE OF MANUFACTURERS

<u>Item Description</u>	<u>Manufacturer</u>
<u>Polymer Injection Skids</u>	<u>Huber Technology</u>
<u>Polymer Mixing Valve</u>	<u>Huber Technology</u>
<u>Progressive Cavity Sludge Transfer/Feed Pumps</u>	<u>Netzsch</u>
<u>Biological Air Scrubber</u>	<u>IMS</u>
<u>Large Bubble Mixing System</u>	<u>EnviroMix</u>
<u>Pre-Engineered Submersible Pump Station</u>	<u>Topps</u>
<u>Primary Clarifier Equipment</u>	<u>Westech</u>
<u>Screw Presses</u>	<u>Huber Technology</u>
<u>Screw Conveyors</u>	<u>Huber Technology</u>

END OF SECTION

SECTION 00405

LIST OF SUBCONTRACTORS FORM

The Bidder is required to furnish the following information in accordance with the provisions of the California Subletting and Subcontracting Fair Practices Act, contained in Sections 4100 to 4114, inclusive, of the Public Contract Code of the State of California and any amendments thereto, for each subcontractor performing more than one-half of one percent (0.5%) of the total base bid. Do not list alternative subcontractors for the same work. The Contractor shall list only one subcontractor for each such portion of Work as is defined by the Contractor in his Bid. Contractor shall not substitute any person as subcontractor in the place of a subcontractor listed below, except as provided in the General Conditions.

The Bidder understands that if he/she fails to specify a subcontractor for any portion of the Work to be performed under the Contract, or specifies more than one subcontractor for the same portion of the Work, he/she shall be deemed to have agreed that he/she is fully qualified to perform that portion himself/herself and that he/she shall not be permitted to sublet or subcontract that portion of the Work, except as provided in the General Conditions.

Name Under Which Subcontractor Licensed	License Number	Address and Telephone Number	Specific Description of Subcontract and Percent of Total Base Bid
Martinez Steel LLC	1050623	Ontario, CA 909-946-0686	Rebar 2.1%
Davis Electric	761461	Myrtle Creek, OR 541-863-6964	Electrical 6.7%
			HVAC
FD Thomas Inc.	610403	Rancho Cucamonga, CA 909-219-0989	Coatings 3.2%
AME Builders Inc.	1057266	Pomona, CA 714-719-4223	Roofing 0.7%
LEED Electric	^{S.S.R} 379096	Santa Fe Springs SCA 562-270-9500	Electrical 6.5%
Advantage Demolition and Grading	754795	Temecula, CA 909-373-5692	Demolition 0.5%
Ace Fence Co.	996577	La Puente, CA 626-337-0727	Fence & Gates 0.2%
Hardy & Harper	215982	Santa Ana, CA 6714-444-1851	AC Paving/Base 0.4%

END OF SECTION



**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. A Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractor's bid or proposal package.


Subcontractor Name Ace Fence Company		Project Name Huston Creek WWTP Primary Clarifier and Dewatering Bldg. Improvements	
Bid / Proposal No. 11652	Assistance Agreement ID No. (if known)	Point of Contact Romeo Layos	
Address 727 Glendora Ave., La Puente, CA 91744			
Telephone No. (626) 333-0727		Email Address rlayos@acefencecompany.com	
Prime Contractor Name Steve P. Rados, Inc.		Issuing/Funding Entity	

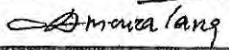
Contract Item Number	Description of Work Submitted from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
8 (partial)	Fence and Gates	\$25,111
DBE Certified By: <input type="checkbox"/> DOT <input type="checkbox"/> SBA Other: _____		Meets/exceeds EPA certification standards? YES NO Unknown

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.2015 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an award of financial assistance.

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	Stephen S. Rados
Title	Date
President	October 20, 2021

Subcontractor Signature	Print Name
	America Tang
Title	Date
Vice President	10/28/2021

The public reporting and record keeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Do not send the completed form to this address.

FORM 4500-3 (DBE Subcontractor Performance Form)

Revised 12/2016



**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. A Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractor's bid or proposal package.


Subcontractor Name Advantage Demolition		Project Name Huston Creek WWTP Primary Clarifier and Dewatering Improvements	
Bid / Proposal No. 21-487	Assistance Agreement ID No. (if known)	Point of Contact Russell Taylor	
Address 27574 Commerc Center Dr. #130, Temecula, CA92590			
Telephone No. 951-557-2830		Email Address russ@adgsocal.com	
Prime Contractor Name GBC Const.		Issuing/Funding Entity	


Contract Item Number	Description of Work Submitted from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
7 (partial)	Demolition as noted on plans, Hauling and Disposal	\$69,577.00
DBE Certified By: <u>DOT</u> <u>SBA</u> Other: <u>Los Angeles County Metro</u>		Meets/exceeds EPA certification standards? YES NO Unknown

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Prime Contractor Signature	Print Name
	Stephen S. Rados
Title	Date
President	October 20, 2021

Subcontractor Signature	Print Name
	Russell Taylor
Title	Date
Estimator	10/27/2021

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FORM 4500-3 (DBE Subcontractor Performance Form)

Revised 12/2016



**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. A Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractor's bid or proposal package.


Subcontractor Name AME Builders, Inc. dba AME Roofing		Project Name Huston Creek WWTP Primary Clarifier and Dewatering Bldg. Improvements	
Bid / Proposal No. 11652	Assistance Agreement ID No. (if known)	Point of Contact Don Seo	
Address 1242 Transit Ave. Pomona, CA 91766			
Telephone No. 714-719-4223		Email Address don.seo@amebuilders.net	
Prime Contractor Name Steve P. Rados, Inc.		Issuing/Funding Entity	

Contract Item Number	Description of Work Submitted from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
9 (partial)	07411 Metal Roof Panels 07600 Sheet Metal **Roof related only 07900 Joint Sealant ** Roof related only	Total \$102,480.00
DBE Certified By: <u>DOT</u> <u>SBA</u> Other: <u>CUCP # 50620</u>		Meets/exceeds EPA certification standards? YES NO Unknown

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² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an award of financial assistance.

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	Stephen S. Rados
Title	Date
President	October 20, 2021

Subcontractor Signature	Print Name
Don Seo <small>Digitally signed by Don Seo Date: 2021.10.28 10:13:44 -0700</small>	Don Seo
Title	Date
President	10/28/2021

The public reporting and record keeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Do not send the completed form to this address.

FORM 4500-3 (DBE Subcontractor Performance Form)

Revised 12/2016



**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. A Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractor's bid or proposal package.


Subcontractor Name F.D. Thomas, Inc.		Project Name Huston Creek WWTP Primary Clarifier and Dewatering Bldg. Improvements	
Bid / Proposal No. 11652	Assistance Agreement ID No. (if known) N/A	Point of Contact Todd Anderson	
Address 217 Bateman Drive, Central Point, OR 97502			
Telephone No. 541-661-3010		Email Address bid@fdthomas.com	
Prime Contractor Name Steve P. Rados, Inc.		Issuing/Funding Entity Crestline Sanitation District	

Contract Item Number	Description of Work Submitted from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
SOV 1301, 1.03,A4	Contractor shall provide all labor, materials, equipment, services and incidentals as required to finish and install all protective coatings to concrete and steel surfaces as specified or shown in the contract documents.	\$415,000
DBE Certified By: <input type="checkbox"/> DOT <input type="checkbox"/> SBA Other: <u>See attached</u>		Meets/exceeds EPA certification standards? <input checked="" type="checkbox"/> YES NO Unknown

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² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an award of financial assistance.

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Signature of Applicant	Print Name
	Stephen S. Rados
Title	Date
President	October 20, 2021

Signature of Applicant	Print Name
	Todd Anderson
Title	Date
V.P. / Senior Estimator	10/28/2021

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FORM 4500-3 (DBE Subcontractor Performance Form)

Revised 12/2016



**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE¹ subcontractor's² and the estimated dollar amount of each subcontract. A Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name Steve P. Rados, Inc.		Project Name Huston Creek WWTP Primary Clarifier and Dewatering Bidg. Improvements	
Bid / Proposal No. 11652	Assistance Agreement ID No. (if known)	Point of Contact Noe Guerrero	
Address 2002 E. McFadden Ave., Suite 200, Santa Ana, CA 92705			
Telephone No. (714) 835-4612		Email Address nguerrero@rados.com	
Issuing/Funding Entity			

I have identified potential DBE certified subcontractors. YES NO
 If yes, please complete the table below. If no, please explain:

Subcontractor Name/ Company Name	Company Address / Phone / Email	Estimated Dollar Amount	Currently DBE Certified?
Ace Fence Co.	La Puente, CA 626-333-0727 sye@acefencecompany.com	\$25,111	DBE
Advantage Demolition	Temecula, CA 909-373-5692 alex@adgsocal.com	\$69,577	DBE
AME Builders	Pomona, CA 714-719-4223 don.seo@amebuilders.net	\$102,480	DBE

--Continue on back if needed--

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² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an award of financial assistance.



**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE¹ subcontractor's² and the estimated dollar amount of each subcontract. A Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name Steve P. Rados, Inc.		Project Name Huston Creek WWTP Primary Clarifier and Dewatering Bldg. Improvements	
Bid / Proposal No. 11652	Assistance Agreement ID No. (if known)	Point of Contact Noe Guerrero	
Address 2002 E. McFadden Ave., Suite 200, Santa Ana, CA 92705			
Telephone No. (714) 835-4612		Email Address nguerrero@rados.com	
Issuing/Funding Entity			

I have identified potential DBE certified subcontractors. YES NO
If yes, please complete the table below. If no, please explain:


Subcontractor Name/ Company Name	Company Address / Phone / Email	Estimated Dollar Amount	Currently DBE Certified?
FD Thomas, Inc.	Central Point, OR 541-664-3010 bids@fdthomas.com	\$415,000	MBE
Leed Electric	Santa Fe Springs CA 562-270-9500	857,000.00	SBE

--Continue on back if needed--

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² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an award of financial assistance.

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Prime Contractor Signature	Print Name
	Stephen S. Rados
Title	Date
President	October 20, 2021

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FORM 4500-4 (DBE Subcontractor Utilization Form)

Revised 12/2016

SECTION 00405

LIST OF SUBCONTRACTORS FORM

The Bidder is required to furnish the following information in accordance with the provisions of the California Subletting and Subcontracting Fair Practices Act, contained in Sections 4100 to 4114, inclusive, of the Public Contract Code of the State of California and any amendments thereto, for each subcontractor performing more than one-half of one percent (0.5%) of the total base bid. Do not list alternative subcontractors for the same work. The Contractor shall list only one subcontractor for each such portion of Work as is defined by the Contractor in his Bid. Contractor shall not substitute any person as subcontractor in the place of a subcontractor listed below, except as provided in the General Conditions.

The Bidder understands that if he/she fails to specify a subcontractor for any portion of the Work to be performed under the Contract, or specifies more than one subcontractor for the same portion of the Work, he/she shall be deemed to have agreed that he/she is fully qualified to perform that portion himself/herself and that he/she shall not be permitted to sublet or subcontract that portion of the Work, except as provided in the General Conditions.

Name Under Which Subcontractor Licensed	License Number	Address and Telephone Number	Specific Description of Subcontract and Percent of Total Base Bid
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

END OF SECTION

SECTION 00406

CONTRACTOR'S LICENSING STATEMENT FORM

Contractors are required by law to be licensed and regulated by the Contractors' State License Board which has jurisdiction to investigate complaints against contractors if a complaint regarding a patent act or omission is filed within four years of the date of the alleged violation. A complaint regarding a latent act or omission pertaining to structural defects must be filed within 10 years of the date of the alleged violation. Any questions concerning a contractor may be referred to the Registrar, Contractors' State License Board, P. O. Box 26000, Sacramento, California 95826.

The undersigned is licensed in accordance with the laws of the State of California providing for the registration of Contractors:

License Number: 484452

Class: A, B

Expiration Date: 12/31/21

Name of Contractor's Firm: Steve P. Rados, Inc.

Business Address and Telephone Number: 2002 E. McFadden Ave., Suite 200, Santa Ana, CA 92705

The following Owner swears under penalty of perjury under the laws of the State of California that the information provided is true and correct:

Name of Individual Owner (Print or Type):

Signature of Owner _____

Or

The following Partners swear under penalty of perjury under the laws of the State of California that the information provided is true and correct.

Signature, title, and address of members signing on behalf of the partnership:

Name Stephen S. Rados Title President

Address 2002 E. McFadden Ave., Suite 200, Santa Ana, CA 92705

Name Derek Rados Title Vice-President

Address 2002 E. McFadden Ave., Suite 200, Santa Ana, CA 92705

Name Stephen A. Rados Title Secretary-Treasurer

Address 2002 E. McFadden Ave., Suite 200, Santa Ana, CA 92705

Or

The following Officers swear under penalty of perjury under the laws of the State of California that the information provided is true and correct.

Corporation organized under the laws of the State of California



Signature of President of Corporation

(Place
Seal
Here)



Signature of Secretary of Corporation

For Owners, Partners or Corporation Officers:

This Contractor's Licensing Statement Form is executed at Santa Ana
(location), California, on October 20, 2021 (date).

END OF SECTION

SECTION 00408

CONTRACTOR REFERENCES AND QUALIFICATIONS

The Bidder shall provide at least three (3) references in the spaces provided on the following pages for projects completed by the Bidder (either as prime or subcontractor), in which the Bidder constructed and installed clarifiers, sludge storage, pumping, and dewatering facilities, installed standby generator and electrical and controls equipment similar to this project at a municipal wastewater treatment facility similar to that proposed under this Project. Failure to submit references with the "BID" may result in the Bid being deemed to be nonresponsive.

The Bidder shall list at least three reference projects and at least one reference project for each work component listed below. Reference projects may include more than one work component and must have been completed at a municipal wastewater treatment facility:

- Construction of a reinforced concrete primary or secondary clarifier tank with associated mechanical and electrical equipment.
- Construction of a solids handling and dewatering building including sludge pumps, polymer feed system, screw press dewatering equipment, sludge cake conveyors, and associated mechanical and electrical equipment.
- Installation of a diesel standby generator, automatic transfer switch, and Motor Control Centers.

Provided reference projects shall also meet the following criteria:

- Used similar types of materials and techniques as will be used on this project;
- Were of similar size and complexity to this project;
- Were completed within the last five (5) years; and
- Were for a public agency in the United States of America.

If a qualifying project as described above was completed by a Bidder's subcontractor, the Bidder shall list the prime Contractor (with contact person and phone number) on the referenced project.

The Bidder (Prime Contractor) shall have a minimum of ten (10) years of experience in mechanical, structural, and electrical construction related to wastewater treatment plant facilities (e.g. clarifiers, sludge handling facilities, hydraulic control devices, etc.).

The Superintendent of the Prime Contractor (Bidder) proposed for this Project shall be named and a resume of his/her experience provided. This Superintendent's experience must include three (3) successfully completed public works projects as a Superintendent with projects involving and mechanical, structural, and electrical construction related to the work required for this project with a minimum value of each project of \$1,000,000, within the last five years. Bidder agrees that the Superintendent named in his Bid will remain on this Project until completion of all relevant Work, unless substituted by personnel of equivalent experience and qualifications approved in advance by the Owner.

The Owner shall have sole discretion and make the final determination as to whether the Bidder (Prime Contractor), and Superintendent meet the above qualifications based on information provided at the time of the Bid. Contractor shall not be allowed to submit additional information after the Bid Opening to augment or supplement the information provided with the Bid.

Duplicate pages, if needed, for listing additional completed projects.

PROJECT NAME AND NUMBER: L.A. Reservoir Ultraviolet Disinfection Plant
PUBLIC AGENCY NAME: Los Angeles Department of Water and Power
PUBLIC AGENCY CONTACT PERSON NAME/TITLE: Richard Carbajal Construction Manager
PHONE: (213) 792-4881
PRIME CONTRACTOR: Steve P. Rados, Inc.
LOCATION AND DESCRIPTION OF WORK: Installation of Diesel Generator Construction of a water disinfection plant including 15 EA UV reactors and trains, inlet & outlet headers, valve vaults and various rooms (such as control room and electric rooms). CML Steel yard piping, including a 144-inch inlet pipe connecting the inlet header pipe to the existing 120-inch pipeline on the northwest and a 144-inch outlet pipe connecting the outlet header pipe to the existing 120-inch pipeline on site. Access roads and parking and electrical substation. The plant building measures approximately 343'L x 95.5'W.
CONTRACT AMOUNT: \$ 53,147,889 COMPLETION DATE: 9/2021
PROJECT NAME AND NUMBER: Long Beach Main Pumping Plant Facility Upgrades
PUBLIC AGENCY NAME: Los Angeles County Sanitation District
PUBLIC AGENCY CONTACT PERSON NAME/TITLE: Russ Vakharia Resident Engineer
PHONE: (424) 731-2546
PRIME CONTRACTOR: Steve P. Rados, Inc.
LOCATION AND DESCRIPTION OF WORK: Sludge Pumps Construction of Long Beach Pumping Plant at 16th Street, Long Beach. It included: demolition of existing buildings & utilities; reroute of power cable; deep shoring with high water table (112'L x 88'W x 43'deep), jet grouting, 16,400 cy of excavation, backfill; construction of 3 new buildings: construction of new reinforced concrete structures, including a 37' deep mass concrete wet well requiring grout injection to seal cracks; poured over 4,800 cy of structural concrete for the Main Pump Station bottom slab; pile supported masonry building; sewers & sewer junction structures; modification of existing valve structures; installation of pumping equipment; 18" to 42" steel yard and process piping; sliplining existing sewer lines and all appurtenant work.
CONTRACT AMOUNT: \$38,100,550 COMPLETION DATE: 7/25/17

Water Quality Control Facility (North Activated Sludge PROJECT NAME AND NUMBER: Treatment System) Upgrade Project	
PUBLIC AGENCY NAME: City of Patterson	
PUBLIC AGENCY CONTACT PERSON NAME/TITLE: Tiffany Rodriguez Capital Project Manager	
PHONE: (209) 895-8075	
PRIME CONTRACTOR: Steve P. Rados, Inc.	
LOCATION AND DESCRIPTION OF WORK: Clarifier	
The work consisted of additions and modifications to the City's existing North Activated Sludge Treatment System (NASTS) including addition of an anoxic basin and pump station, modifications to the existing oxidation ditch, modifications to the existing secondary clarification system including replacement of the secondary clarifier bridge and rake mechanisms, yard piping replacements, and, electrical and control systems modifications, and services for the construction of all remaining facilities as described in the Contract Documents.	
CONTRACT AMOUNT: \$2,745,000	COMPLETION DATE: 3/01/2020
San Jose Creek Water Reclamation Plant Sodium PROJECT NAME AND NUMBER: Hypochlorite & Sodium Bisulfite Facilities Project	
PUBLIC AGENCY NAME: Los Angeles County Sanitation District No. 2	
PUBLIC AGENCY CONTACT PERSON NAME/TITLE: Derek Zondervan Construction Manager	
PHONE: (562) 908-4288	
PRIME CONTRACTOR: Steve P. Rados, Inc.	
LOCATION AND DESCRIPTION OF WORK: Polymer Feed System	
The project will involve construction of sodium hypochlorite and sodium bisulfite facilities at both San Jose Creek WRP East and West, including fiberglass reinforced plastic chemical storage tanks, secondary containment structures, chemical feed systems (sodium hypochlorite), and associated electrical, instrumentation, and piping systems; decommissioning of the existing chloride and sulfur dioxide gas facilities; demolition of the existing emergency caustic scrubbers at each plant; and all appurtenant work.	
CONTRACT AMOUNT: \$9,573,708	COMPLETION DATE: 4/01/2019



Victor Esparza
Project Superintendent

Summary

Victor has over 22 years of experience in the construction of water and wastewater treatment and pumping facilities. His primary duties include installation of equipment and process pipe as well as startup and testing.

Experience

Pump Station 2 Power Reliability & Surge Protection Project

City of San Diego (\$56,228,000)

Project Superintendent. This complex project includes a vast array of sewage handling appurtenances, such as heat exchangers, 2250 HP motor and drive systems, storage tanks, refueling systems, piping, electrical upgrades and instrumentation upgrades, two new gas driven generators (3 MW), 2 new diesel driven generators (4 MW), switchgears and associated systems.

LA Reservoir Ultraviolet Disinfection Plant

Los Angeles Department of Water and Power (\$50,500,000)

Mechanical Superintendent. The project consisted of construction of 34,500 sf facility housing 15 EA 48" UV reactor trains and complex mechanical system. Work also consisted of shoring under the building footprint to install 108" to 144" welded steel pipe to flow control station which consisted of 96", 48" and 60" butterfly valves. In addition, scope included construction of 6 underground vaults and 11 maintenance holes.

Other Projects

General Foreman and Startup and Testing Coordinator

- Centrifuge Facility, Orange County Sanitation District (OCSD)
- Chino II Desalter, Chino Desalter Authority (CDA)
- Jensen Ozone Facility, Metropolitan Water District

General Foreman/Startup Assistant

- Diemer Ozone Facility, Metropolitan Water District
- General Foreman/Startup Assistant

Mechanical Foreman

- P2-66 Odor Control Facility, OCSD
- Advanced Water Treatment Plant, Orange County Water District
- East Side Reservoir Pumping Plant, Metropolitan Water District

Certifications and Endorsements

OSHA 10

Confined Space Awareness

Confined Space Entry

First Aid/CPR

Forklift Safety

Scaffold Builder

Scaffold User

Traffic Control

Aerial/Boomlifts

Air Tools

Fall Protection

Skid Steer

Trench Plate Protection

SECTION 00410

BID SECURITY FORM
(Check to accompany Bid)

(Note: The following form shall be used if check accompanies bid)

Accompanying this proposal is a *Certified /*Cashiers check payable to the order of Crestline Sanitation District, hereinafter referred to as "Owner," for _____ Dollars (\$ _____), this amount being ten (10) percent of the total amount of the Bid. The proceeds of this check shall become the property of said Owner provided this proposal shall be accepted by the said Owner through action of its legally constituted contracting authorities and the undersigned shall fail to execute a Contract and furnish the required Performance and Payment Bonds and Proof of Insurance Coverage within the stipulated time; otherwise, the check shall be returned to the undersigned. The proceeds of this check shall also become the property of the Owner if the undersigned shall withdraw his/her Bid within the period of sixty (60) days after the date set for the opening thereof, unless otherwise required by law, and notwithstanding the award of the Contract to another Bidder.

Bidder

*Delete the inapplicable word

NOTE: If the Bidder desires to use a bond instead of a check, the Bid Bond Form in Section 00415 shall be executed. The sum of this bond shall be not less than ten (10) percent of the total amount of this Bid.

END OF SECTION

SECTION 00415

BID GUARANTY BOND

KNOW ALL MEN AND WOMEN BY THESE PRESENTS:

Travelers Casualty and
THAT Steve P. Rados, Inc., hereinafter called Principal, and Surety Company of America hereinafter called the Surety, are jointly and severally held and firmly bound unto the Crestline Sanitation District, 24516 Lake Drive (P.O. Box 3395), Crestline, California, 92325-3395, hereinafter called Owner, in the penal sum of ten percent (10%) of the Bid of Principal for the Work described herein. This sum not to exceed Ten Percent of the Total Amount Bid Dollars (\$ 10% of Bid) lawful money of the United States of America, for the payment whereof unto Owner, Principal and Surety jointly and severally bind themselves forever firmly by these presents, except said penal sum shall not exceed ten percent (10%) of the amount Bid by Principal for Work which is awarded to Principal by the Owner.

WHEREAS, Principal is herewith submitting a Bid for the Work entitled **HUSTON CREEK WWTP PRIMARY CLARIFIER AND DEWATERING BUILDING IMPROVEMENTS**.

NOW, THEREFORE, the condition of this obligation is such that if Principal is awarded a Contract for the Work, and if Principal within the time specified in the Bid enters into, executes and delivers to Owner an agreement in the form provided herewith, and if Principal within the time specified in the Bid gives to Owner the performance bond and the payment bond on the forms provided herewith, and any other documents required by these contract documents, then this obligation shall be void. If, however, Principal shall fail or refuse to furnish, execute and deliver to Owner said agreement in the time stated in the Bid or should fail or refuse to furnish Performance Bond and Payment Bond or other required document in the time stated in the Bid, then Principal and Surety shall forfeit to Owner the penal sum hereof.

AND IT IS HEREBY DECLARED AND AGREED that Surety shall be liable under this obligation as Principal, and that nothing of any kind or nature whatsoever that will not discharge Principal shall operate as a release of liability of Surety.

IT IS HEREBY FURTHER DECLARED AND AGREED that this obligation shall be binding upon and inure to the benefit of Principal, Surety and Owner and their respective heirs, executors, administrators, successors and assigns.

SIGNED AND SEALED THIS 5th day of October, 2021.

Steve P. Rados, Inc.

Principal

By: 

Signature

Travelers Casualty and Surety Company of America
Surety

By: 

Signature

Heather Saltarelli, Attorney-in-Fact

21688 Gateway Center Drive

Diamond Bar, CA 91765

(Surety's Mailing Address)

(909) 612-3653

(Telephone Number)

(Attach Notary Acknowledgment of Surety)

(NOTE: The standard printed bond form of any bonding company acceptable to the Owner may be used in lieu of the foregoing approved sample bond form provided the security stipulations protecting the Owner are not in any way reduced by use of the Surety Company's printed standard form.)

END OF SECTION

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
County of Orange)

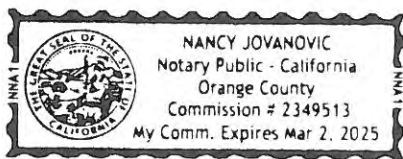
On October 20, 2021 before me, Nancy Jovanovic, Notary Public,
Date Here Insert Name and Title of the Officer

personally appeared Stephen S. Rados
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Nancy Jovanovic
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: BID GUARANTY BOND

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- Corporate Officer — Title(s): _____
- Partner — Limited General
- Individual Attorney in Fact
- Trustee Guardian or Conservator
- Other: _____

Signer Is Representing: _____

Signer's Name: _____

- Corporate Officer — Title(s): _____
- Partner — Limited General
- Individual Attorney in Fact
- Trustee Guardian or Conservator
- Other: _____

Signer Is Representing: _____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
County of Orange)
On OCT 05 2021 before me, Leigh McDonough, Notary Public
Date Here Insert Name and Title of the Officer
personally appeared Heather Saltarelli
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Leigh McDonough
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: _____ Document Date: _____
Number of Pages: _____ Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____
Corporate Officer -- Title(s): _____
Partner -- Limited General
Individual Attorney in Fact
Trustee Guardian or Conservator
Other: _____
Signer Is Representing: _____

Signer's Name: _____
Corporate Officer -- Title(s): _____
Partner -- Limited General
Individual Attorney in Fact
Trustee Guardian or Conservator
Other: _____
Signer Is Representing: _____



**Travelers Casualty and Surety Company of America
Travelers Casualty and Surety Company
St. Paul Fire and Marine Insurance Company**

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company are corporations duly organized under the laws of the State of Connecticut (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint **Heather Saltarelli** of **NEWPORT BEACH California**, their true and lawful Attorney(s)-in-Fact to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed, and their corporate seals to be hereto affixed, this **21st** day of **April, 2021**.



State of Connecticut

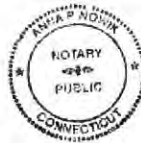
City of Hartford ss.

By: 
Robert L. Raney, Senior Vice President

On this the **21st** day of **April, 2021**, before me personally appeared **Robert L. Raney**, who acknowledged himself to be the Senior Vice President of each of the Companies, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of said Companies by himself as a duly authorized officer.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

My Commission expires the **30th** day of **June, 2026**




Anna P. Nowik, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of each of the Companies, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

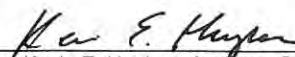
FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, **Kevin E. Hughes**, the undersigned, Assistant Secretary of each of the Companies, do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which remains in full force and effect.

Dated this day of **OCT 05 2021**




Kevin E. Hughes, Assistant Secretary

**To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3880.
Please refer to the above-named Attorney(s)-in-Fact and the details of the bond to which this Power of Attorney is attached.**

SECTION 00480

NON-COLLUSION AFFIDAVIT


State of California)
) ss.
County of Orange)

STATE OF CALIFORNIA, COUNTY OF Orange being first duly sworn, deposes and says that he or she is Stephen S. Rados of Steve P. Rados, Inc. the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or a conference with anyone to fix the bid price of the bidder or of any other bidder, or to fix any overhead, profit or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

Signed 
Title: President

Subscribed and sworn to before me this 20th day of October, 2021.

Seal of Notary 


Notary Public

END OF SECTION

SECTION 00481

IRAN CONTRACTING ACT CERTIFICATION

(Public Contract Code section 2200 *et seq.*)

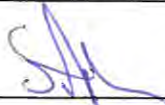
As required by California Public Contract Code section 2204, the Contractor certifies subject to penalty for perjury that the option checked below relating to the Contractor's status in regard to the Iran Contracting Act of 2010 (Public Contract Code section 2200 *et seq.*) is true and correct:

- The Contractor is not:
 - (i) identified on the current list of persons and entities engaging in investment activities in Iran prepared by the California Department of General Services in accordance with subdivision (b) of Public Contract Code section 2203; or
 - (ii) a financial institution that extends, for 45 days or more, credit in the amount of \$20,000,000 or more to any other person or entity identified on the current list of persons and entities engaging in investment activities in Iran prepared by the California Department of General Services in accordance with subdivision (b) of Public Contract Code section 2203, if that person or entity uses or will use the credit to provide goods or services in the energy sector in Iran.

- Agency has exempted the Contractor from the requirements of the Iran Contracting Act of 2010 after making a public finding that, absent the exemption, Agency will be unable to obtain the goods and/or services to be provided pursuant to the Contract.

- The amount of the Contract payable to the Contractor for the Work does not exceed \$1,000,000.

Name of Bidder Steve P. Rados, Inc.

Signature 

Name and Title Stephen S. Rados

Dated October 20, 2021

Note: In accordance with Public Contract Code section 2205, false certification of this form shall be reported to the California Attorney General and may result in civil penalties equal to the greater of \$250,000 or twice the Contract Price, termination of the Contract and/or ineligibility to bid on contracts for three years.



**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. A Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractor's bid or proposal package.


Subcontractor Name		Project Name Huston Creek WWTP Primary Clarifier and Dewatering Bldg. Improvements	
Bid / Proposal No. 11652	Assistance Agreement ID No. (if known)	Point of Contact	
Address			
Telephone No.		Email Address	
Prime Contractor Name Steve P. Rados, Inc.		Issuing/Funding Entity	

Contract Item Number	Description of Work Submitted from the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
DBE Certified By: <input type="checkbox"/> DOT <input type="checkbox"/> SBA Other: _____		Meets/exceeds EPA certification standards? <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Unknown

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.2015 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an award of financial assistance.

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	Stephen S. Rados
Title	Date
President	October 20, 2021

Subcontractor Signature	Print Name
Title	Date

The public reporting and record keeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Do not send the completed form to this address.

FORM 4500-3 (DBE Subcontractor Performance Form)



**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE¹ subcontractor's² and the estimated dollar amount of each subcontract. A Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name Steve P. Rados, Inc.		Project Name Huston Creek WWTP Primary Clarifier and Dewatering Bldg. Improvements	
Bid / Proposal No. 11652	Assistance Agreement ID No. (if known)	Point of Contact Noe Guerrero	
Address 2002 E. McFadden Ave., Suite 200, Santa Ana, CA 92705			
Telephone No. (714) 835-4612		Email Address nguerrero@rados.com	
Issuing/Funding Entity			

I have identified potential DBE certified subcontractors. YES NO
If yes, please complete the table below. If no, please explain:


Subcontractor Name/ Company Name	Company Address / Phone / Email	Estimated Dollar Amount	Currently DBE Certified?

--Continue on back if needed--

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.2015 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an award of financial assistance.

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	Stephen S. Rados
Title	Date
President	October 20, 2021

The public reporting and record keeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Do not send the completed form to this address.

FORM 4500-4 (DBE Subcontractor Utilization Form)

NON-DISCRIMINATION CERTIFICATION
(To be submitted by Contractor with Bid Package)

NON-DISCRIMINATION CLAUSE

During the performance of this contract, the Contractor agrees as follows:

(1) The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

(2) The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive considerations for employment without regard to race, color, religion, sex, or national origin.

(3) The Contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the Contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

(4) The Contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

(5) The Contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(6) In the event of the Contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(7) The Contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing

such provisions, including sanctions for noncompliance: Provided, however, That in the event a Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

The applicant further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: Provided, that if the applicant so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the contract.

The applicant agrees that it will assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it will furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it will otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

The applicant further agrees that it will refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and federally assisted construction contracts pursuant to the Executive order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon contractors and subcontractors by the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive order. In addition, the applicant agrees that if it fails or refuses to comply with these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the applicant under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such applicant; and refer the case to the Department of Justice for appropriate legal proceedings.

(a) not used

(b) not used

(c) Subcontracts. Each nonexempt prime contractor or subcontractor shall include the equal opportunity clause in each of its nonexempt subcontracts.

(d) Incorporation by reference. The equal opportunity clause may be incorporated by reference in all Government contracts and subcontracts, including Government bills of lading, transportation requests, contracts for deposit of Government funds, and contracts for issuing and paying U.S. savings bonds and notes, and such other contracts and subcontracts as the Deputy Assistant Secretary may designate.

(e) Incorporation by operation of the order. By operation of the order, the equal opportunity clause shall be considered to be a part of every contract and subcontract required by the order and the regulations in this part to include such a clause whether or not it is physically incorporated in such contracts and whether or not the contract between the agency and the contractor is written.

(f) Adaptation of language. Such necessary changes in language may be made in the equal opportunity clause as shall be appropriate to identify properly the parties and their undertakings. [43 FR 49240, Oct. 20, 1978, as amended at 62 FR 66971, Dec. 22, 1997]

1. During the performance of this contract, Contractor and its subcontractors shall not unlawfully discriminate against any employee or applicant for employment because of sex, race, religion, color, national origin, ancestry, physical disability (including HIV and AIDS), mental disability, medical condition (cancer), marital status, age (over 40) or denial of family care leave. Contractors and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free of such discrimination and harassment. Contractors and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Government Code Section 12900 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Administrative Code, Title 2, Section 7285.0 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code, Section 12900 (a-f), set forth in Chapter 5 of Division 4 of Title 2 or the California Administrative Code are incorporated into this contract by reference and made a part hereof as if set forth in full. Contractor and its subcontractor shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement.

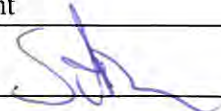
2. The Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the contract.

THE UNDERSIGNED CERTIFIES THAT THE CONTRACTOR WILL COMPLY WITH THE ABOVE REQUIREMENTS.

CONTRACTOR OR
SUBCONTRACTOR NAME: Steve P. Rados, Inc.

CERTIFIED BY:
NAME: Stephen S. Rados

TITLE: President

SIGNATURE:  DATE: October 20, 2021

CERTIFICATION OF NON-SEGREGATED FACILITIES
(To be submitted by Contractor with Bid Package)

NOTICE TO PROSPECTIVE FEDERALLY-ASSISTED CONSTRUCTION CONTRACTORS

(a) A Certification of Non-Segregated Facilities, as required by the May 9, 1967 Order (32 F.R. 7439, May 19, 1967) on Elimination of Segregated Facilities, by the Secretary of Labor, must be submitted prior to the award of a Federally-assisted construction contract exceeding \$10,000 which is not exempt from the provisions of the Equal Opportunity Clause.

(b) Contractors receiving Federally-assisted construction contract awards exceeding \$10,000 which, are not exempt from the provisions of the Equal Opportunity Clause, will be required to provide for the forwarding of the following notice to prospective subcontractors for supply and construction contracts where the subcontracts exceed \$10,000 and are not exempt from the provisions of the Equal Opportunity Clause.

**NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENT FOR
CERTIFICATION OF NON-SEGREGATED FACILITIES**

(a) A Certification of Non-Segregated Facilities, as required by the May 9, 1967 Order (32 F.R. 7439, May 19, 1967) on Elimination of Segregated Facilities, by the Secretary of Labor, must be submitted prior to the award of a subcontract exceeding \$10,000 which is not exempt from the provisions of the Equal Opportunity Clause.

(b) Contractors receiving subcontract awards exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause will be required to provide for the forwarding of this notice to prospective subcontractors for supply and construction contracts where the subcontracts exceed \$10,000 and are not exempt from the provisions of the Equal Opportunity Clause.

CERTIFICATION OF NON-SEGREGATED FACILITIES

Environmental Protection Agency Region IX
75 Hawthorne Street
San Francisco, California 94105

(Applicable to federally assisted construction contracts and related subcontracts exceeding \$10,000 which are not exempt from the Equal Opportunity Clause.)

The federally assisted construction contractor certifies that he does not maintain or provide for his employees any segregated facilities at any of his establishments, and that he does not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The federally assisted construction contractor certifies further that he will not maintain or provide for his employees any segregated facilities at any of his establishments, and that he will not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The federally assisted construction contractor agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this contract. As used in this certification, the term "segregated facilities"

means any waiting rooms, work areas, restrooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise. The federally assisted construction contractor agrees that (except where he has obtained identical certifications from proposed subcontractors for specified time period) he will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause, and that he will retain such certifications in his files.



Signature

October 20, 2021

Date

Stephen S. Rados President

Name and Title of Signer (Please Type)

Note: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

DRUG-FREE WORKPLACE CERTIFICATION
(To be submitted by Contractor with Bid Package)

CONTRACTOR/APPLICANT: Steve P. Rados, Inc.

The contractor or applicant named above hereby certifies compliance with Government Code Section 8355 in matters relating to providing a drug-free workplace. The above named contractor or applicant will:

1. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations, as required by Government Code Section 8355(a).
2. Establish a Drug-Free Awareness Program as required by Government Code Section 8355(b), to inform employees about all of the following:
 - (a) The dangers of drug abuse in the workplace,
 - (b) The person's or organization's policy of maintaining a drug-free workplace,
 - (c) Any available counseling, rehabilitation and employee assistance programs, and
 - (d) Penalties that may be imposed upon employees for drug abuse violations.
3. Provide as required by Government Code Section 8355(c), that every employee who works on the proposed contract or loan:
 - (a) Will receive a copy of the company's drug-free policy statement, and
 - (b) Will agree to abide by the terms of the company's statement as a condition of employment on the contract or loan.

CERTIFICATION

I, the official named below, hereby swear that I am duly authorized legally to bind the contractor or loan recipient to the above described certification. I am fully aware that this certification, executed on the date and in the county below, is made under penalty of perjury under the laws of the State of California.

OFFICIAL'S NAME: Steve P. Rados, Inc.

DATE EXECUTED: 10/20/21 EXECUTED IN COUNTY OF: Orange

CONTRACTOR/APPLICANT SIGNATURE: 

TITLE: President

DEBARMENT AND SUSPENSION CERTIFICATION
TITLE 2, CODE OF FEDERAL REGULATIONS, PART 180
(To be submitted by Contractor with Bid Package)

1. The undersigned (authorized official signing for the organization) certifies to the best of his or her knowledge and belief that the organization and he or she and any person associated therewith in the capacity of owner, partner, director, officer, or manager:
 - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any federal department or agency;
 - (b) Have not within a three (3) year period preceding this Agreement been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in subparagraph (1)(b) of this certification; and
 - (d) Have not within a three (3) year period preceding this proposal had one or more public transactions (federal, state or local) terminated for cause or default.
2. The undersigned also certifies that, if the undersigned later becomes aware of any information contradicting the statements of paragraph (1) above, it will promptly provide that information to the awarding agency.
3. If the undersigned is unable to certify to all statements in paragraphs (1) and (2) of this certification, through those means available to the undersigned, including the federal General Services Administration's Excluded Parties List System (www.epls.gov), the undersigned shall so state and provide a written explanation in an attachment to this certification.

I, the undersigned, declare under penalty of perjury under the laws of the State of California that the foregoing certifications and assurances, and any other statements made by me herewith are true and correct.

Organization: Steve P. Rados, Inc.

Signature: 

Date: October 20, 2021

Printed Name: Stephen S. Rados